FORM NLRB-501 (3-21)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE			
Case	Date Filed		
01-CA-299131	7/9/2022		

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

File an original with NLRB Regional Director for the region in	Which the alleged untarriabor practice occurred or is occ OYER AGAINST WHOM CHARGE IS BROUGHT.	eurring.
a. Name of Employer	OTENAGAINST WHOMOHARGE IS BROSSITI	b. Tel. No.
Women and Infants Hospital		(b) (6), (b) (7)(C)
Women and mane Hoopital		c. Cell No.
		c. Gen No.
		f. Fax. No.
		1.1 ax. 140.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	a a mail
(b) (6), (b) (7)(C)		g.e-mail
		(b) @carene.org
RI Providence 02905		h. Number of workers employed
		1700
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service	
Healthcare	Hospital	
The above-named employer has engaged in and is engag	·	tion 8(a) subsections (1) and
(list subsections) 5		or Relations Act, and these unfair labor
practices are practices affecting commerce within the me		·
meaning of the Act and the Postal Reorganization Act.	ag -: /, -: aaa p.a p.a.	g
Basis of the Charge (set forth a clear and concise state)	ement of the facts constituting the alleged unfairlabor	nractices)
2. Dasis of the offarge (see forth a clear and contrise state	ement of the racis constituting the aneged annumber	practices
See additional page		
3. Full name of party filing charge <i>(if labor organization, g</i> Mary-Murphy Walsh	rive full name, including local name and number)	
	Organizer	
SEIU District 1199 NE  4a. Address (Street and number, city, state, and ZIP code	٩	4b. Tel. No.
4a. Address (Street and Humber, City, State, and Zir Code	5)	(401) 924-4802
		4c. Cell No.
319 Broadway		4c. Cell No.
RI Providence 02909		
		4d. Fax No.
		4e. e-mail
		mwalsh@seiu1199ne.org
5. Full name of national or international labor organizatio	n of which it is an affiliate or constituent unit <i>(to be filled</i>	in when charge is filed by a labor organization)
6 DECL	ARATION	I =
*	ove charge and that the statements	Tel. No. (401) 924-4802
	ny knowledge and belief.	
FNAVIII	Mary Murphy Walsh	Office, if any, Cell No.
	Mary-Murphy Walsh Organizer	
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No.
319 Broadway		
Address Providence RI 02909	Date 07/09/2022 11:56:42 AM	e-mail
Audiess Tovidence III 02000	Date Outpoint 11:30:45 VM	mwalsh@seiu1199ne.org

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.

### **Basis of the Charge**

#### 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees.

#### 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees by making unilateral changes in terms and conditions of employment.

List Changes	Approximate date of change
contractual incentive program for extra shifts	06/14/2022



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Thomas P. O'Neill Jr. Federal Building

10 Causeway St, Room 601

Boston, MA 02222-1001

Agency Website: www.nlrb.gov Dow Telephone: (617)565-6700 NL



July 12, 2022

Fax: (617)565-6725

Mary-Murphy Walsh, Organizer SEIU District 1199 NE 319 Broadway Providence, RI 02909

**REGION 1** 

Re: Women and Infants Hospital

Case 01-CA-299131

Dear Ms. Walsh:

The charge that you filed in this case on July 11, 2022 has been docketed as case number 01-CA-299131. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney COLLEEN FLEMING whose telephone number is (857)317-7785. If this Board agent is not available, you may contact Supervisory Attorney THOMAS E. QUIGLEY whose telephone number is (959)200-7376.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Laura A. Sacks Regional Director

Michael C. Case

By:

Michael C. Cass Officer in Charge

cc: Kevin Creane, Esquire
Law Firm of John M. Creane
92 Cherry Street
Milford, CT 06460



# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 1 Thomas P. O'Neill Jr. Federal Building 10 Causeway St, Room 601 Boston, MA 02222-1001

Agency Website: www.nlrb.gov Telephone: (617)565-6700 Fax: (617)565-6725 Download NLRB Mobile App

July 12, 2022

## (b) (6), (b) (7)(C)

Women and Infants Hospital 101 Dudley St Providence, RI 02905

Re: Women and Infants Hospital

Case 01-CA-299131

Dear (b) (6), (b) (7)(C)

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney COLLEEN FLEMING whose telephone number is (857)317-7785. If this Board agent is not available, you may contact Supervisory Attorney THOMAS E. QUIGLEY whose telephone number is (959)200-7376.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Laura A. Sacks Regional Director

Michael C. Casa

By:

Michael C. Cass Officer in Charge

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

FORM NLRB-5081 NATIONAL LABOR RELATIONS BOARD (3-11)						
QUESTIONNAIRE ON COMMERCE INFORMATION						
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.						
CASE NAME	turn to the NLKB Offic	ce. If addition	nai space is required, please add a p	_	NUMBER	
CASE NAME				l	-299131	
1. EXACT LEGAL TITLE OF ENTITY (As filed w	ith State and/or stat	ted in legal (	documents forming entity)			
2. TYPE OF ENTITY						
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3. IF A CORPORATION or LLC	, Tricite Losini	[ ] SOLL	TROTAL [] OII	ibit (opt		
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5. IF A SOLE PROPRIETORSHIP, FULL NAME	AND ADDRESS OF	F PROPRII	ETOR			
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Did you provide services valued in excess of \$50,0						NO
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#### PRIVACY ACT STATEMENT

DATE

E-MAIL ADDRESS

12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

SIGNATURE

NAME AND TITLE (Type or Print)

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

WOMEN AND INFANTS HOSPITAL  Charged Party  and  SEIU DISTRICT 1199 NE  Charging Party	Case 01-CA-299131
AFFIDAVIT OF SERVICE OF CHARGE AGAI  I, the undersigned employee of the National Labor R July 12, 2022, I served the above-entitled document( addressed to them at the following addresses:  (b) (6), (b) (7)(C)  Women and Infants Hospital 101 Dudley St Providence, RI 02905	elations Board, state under oath that on
July 12, 2022  Date	Marcelina Cabrera, Designated Agent of NLRB Name
Date	Marcelina Cabrera Signature



#### UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



**REGION 1** Thomas P. O'Neill Jr. Federal Building 10 Causeway St, Room 601 Boston, MA 02222-1001

Agency Website: www.nlrb.gov Telephone: (617)565-6700 Fax: (617)565-6725

Download **NLRB** Mobile App

July 12, 2022

## (b) (6), (b) (7)(C)

101 Dudley St Providence, RI 02905

> Women and Infants Hospital Re:

> > Case 01-CA-299131



Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

**Investigator:** This charge is being investigated by Field Attorney COLLEEN FLEMING whose telephone number is (857)317-7785. If this Board agent is not available, you may contact Supervisory Attorney THOMAS E. QUIGLEY whose telephone number is (959)200-7376.

**<u>Right to Representation:</u>** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

**Presentation of Your Evidence:** We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Laura A. Sacks Regional Director

Michael C. Casa

By:

Michael C. Cass Officer in Charge

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

FORM NLRB-5081 NATIONAL LABOR RELATIONS BOARD (3-11)						
QUESTIONNAIRE ON COMMERCE INFORMATION						
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.						
CASE NAME	turn to the NLKB Offic	ce. If addition	nai space is required, please add a p	_	NUMBER	
CASE NAME				l	-299131	
1. EXACT LEGAL TITLE OF ENTITY (As filed w	ith State and/or stat	ted in legal (	documents forming entity)			
2. TYPE OF ENTITY						
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#### PRIVACY ACT STATEMENT

DATE

E-MAIL ADDRESS

12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

SIGNATURE

NAME AND TITLE (Type or Print)

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

#### **UNITED STATES OF AMERICA**

#### BEFORE THE NATIONAL LABOR RELATIONS BOARD

WOMEN AND INFANTS HOSPITAL	
Charged Party	
and	Case 01-CA-299131
SEIU DISTRICT 1199 NE	
Charging Party	
AFFIDAVIT OF SERVICE OF CHARGE AGAINST	Γ EMPLOYER
I, the undersigned employee of the National Labor Relational 12, 2022, I served the above-entitled document(s) by addressed to them at the following addresses:	
(b) (6), (b) (7)(C)  Women and Infants Hospital 101 Dudley St Providence, RI 02905	
July 12, 2022	Marcelina Cabrera, Designated Agent of NLRB
Date	Name
	Marcelina Cabrera
	Signature



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 1
Thomas P. O'Neill Jr. Federal Building
10 Causeway St, Room 1002
Boston, MA 02222-1001

Agency Website: www.nlrb.gov Telephone: (617)565-6700 Fax: (617)565-6725

September 8, 2022

Mary-Murphy Walsh, Organizer SEIU District 1199 NE 319 Broadway Providence, RI 02909

Re: Women and Infants Hospital

Case 01-CA-299131

Dear Ms. Murphy Walsh:

The Region has carefully considered the charge alleging that the Employer violated the National Labor Relations Act. As explained below, I have decided that further proceedings on the charge should be handled in accordance with the deferral policy of the National Labor Relations Board as set forth in *Collyer Insulated Wire*, 192 NLRB 837 (1971), and *United Technologies Corp.*, 268 NLRB 557 (1984). This letter explains that deferral policy, the reasons for my decision to defer further processing of the charge, and the Charging Party's right to appeal my decision.

**Deferral Policy**: The Board's deferral policy provides that the Board will postpone making a final determination on a charge when a grievance involving the same issue can be processed under the grievance/arbitration provision of the applicable contract. This policy is partially based on the preference that the parties use their contractual grievance procedure to achieve a prompt, fair, and effective settlement of their disputes. Therefore, if an employer agrees to waive contractual time limits and process the related grievance through arbitration if necessary, the Board's Regional Office will defer the charge.

**Decision to Defer**: Based on our investigation, I am deferring further proceedings on the charge in this matter to the grievance/arbitration process for the following reasons:

- 1. The Employer and the Union have a collective-bargaining agreement currently in effect that provides for final and binding arbitration.
- 2. The Employer's allegedly unlawful unilateral change to the contractual incentive program for extra shifts in the summer of 2022, as generally alleged in the charge, is encompassed by the terms of the collective bargaining agreements.

- 3. The Employer is willing to process a grievance concerning the issues in the charge, and will arbitrate the grievance if necessary. The Employer has also agreed to waive any time limitations in order to ensure that the arbitrator addresses the merits of the dispute.
- 4. Since the issues in the charge appear to be covered by provisions of the collective-bargaining agreement, it is likely that the issues may be resolved through the grievance/arbitration procedure.

**Further Processing of the Charge**: As explained below, while the charge is deferred, the Regional office will monitor the processing of the grievance and, under certain circumstances, will resume processing of the charge.

Charging Party's Obligation: Under the Board's Collyer deferral policy, the Charging Party has an affirmative obligation to file a grievance, if a grievance has not already been filed. If the Charging Party fails either to promptly submit the grievance to the grievance/arbitration process or declines to have the grievance arbitrated if it is not resolved, I may dismiss the charge.

Charged Party's Conduct: If the Charged Party prevents or impedes resolution of the grievance, raises a defense that the grievance is untimely filed, or refuses to arbitrate the grievance, I will revoke deferral and resume processing of the charge.

Monitoring the Dispute: Approximately every 90 days, the Regional Office will ask the parties about the status of this dispute to determine if the dispute has been resolved and if continued deferral is appropriate. However, at any time, a party may present evidence and request dismissal of the charge, continued deferral of the charge, or issuance of a complaint.

*Notice to Arbitrator Form*: If the grievance is submitted to an arbitrator, please sign and submit to the arbitrator the enclosed "Notice to Arbitrator" form to ensure that the Region receives a copy of an arbitration award when the arbitrator sends the award to the parties.

Review of Arbitrator's Award or Settlement: If the grievance is arbitrated or settled, the Charging Party may ask the Board to review the arbitrator's award or settlement. The request must be in writing and addressed to me. If the request concerns an arbitrator's award, the request should analyze whether the arbitration process was fair and regular, whether the unfair labor practice allegations in the charge were considered by the arbitrator, and whether the award is consistent with the Act. Further guidance on this review is provided in Spielberg Manufacturing Company, 112 NLRB 1080 (1955) and Olin Corp., 268 NLRB 573 (1984). If the request concerns a grievance settlement, see Alpha Beta, 273 NLRB 1546 (1985). These Board decisions are available on our website, www.nlrb.gov.

Change in Standards if Parties Agree to Submit Statutory Issue to Arbitrator: If during the processing of the grievance the parties agree to authorize the arbitrator to decide the statutory issue, please advise me in writing.

**Charging Party's Right to Appeal:** The Charging Party may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals.

Means of Filing: You must file your appeal electronically or provide a written statement explaining why electronic submission is not possible or feasible. Written instructions for the NLRB's E-Filing system and the Terms and Conditions of the NLRB's E-Filing policy are available at <a href="www.nlrb.gov">www.nlrb.gov</a>. See <a href="www.nlrb.gov">User Guide</a>. A video demonstration which provides <a href="step-by-step instructions">step-by-step instructions</a> and frequently asked questions are also available at <a href="www.nlrb.gov">www.nlrb.gov</a>. If you require additional assistance with E-Filing, please contact <a href="mailto:e-Filing@nlrb.gov">e-Filing@nlrb.gov</a>.

You are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect. If you cannot file electronically, please send the appeal and your written explanation of why you cannot file electronically to the **General Counsel** at the **National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001**. Unless filed electronically, a copy of the appeal should also be sent to me. The main telephone number for the Office of Appeals is (202)273-3760.

The appeal MAY NOT be filed by fax or email. The Office of Appeals will not process faxed or emailed appeals.

Appeal Due Date: The appeal is due on September 22, 2022. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed **no later than 11:59 p.m. Eastern Time** on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later than September 21, 2022. If an appeal is postmarked or given to a delivery service on the due date, it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

**Extension of Time to File Appeal:** The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension of time is **received on or before September 22, 2022.** The request may be filed electronically through the *E-File Documents* link on our website <a href="www.nlrb.gov">www.nlrb.gov</a>, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after September 22, 2022, **even if it is postmarked or given to the delivery service before the due date**. Unless filed electronically, a copy of the extension of time should also be sent to me.

**Confidentiality:** We will not honor requests to limit our use of appeal statements or evidence. Upon a request under the Freedom of Information Act (FOIA) by a party during the

processing of an appeal, the Agency's FOIA Branch discloses appeal statements, redacted for personal privacy, confidential source protection, or other applicable FOIA exemptions. In the event the appeal is sustained, any statement or material submitted may be introduced as evidence at a hearing before an administrative law judge. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Very truly yours,

Laura A. Sacks Regional Director

Enclosures

(b) (6), (b) (7)(C)

Women and Infants Hospital 101 Dudley St Providence, RI 02905

Joseph D. Whelan, Esq. Matthew H. Parker, Esq. Whelan, Corrente & Flanders, LLP 100 Westminster St., Suite 710 Providence, RI 02993

Kevin Creane, Esquire Law Firm of John M. Creane 92 Cherry Street Milford, CT 06460

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD NOTICE TO ARBITRATOR

TO:		
	(Arbitrator)	
	(Address)	
		NLRB Case Number 01-CA-299131
NLRB	3 Case Name: Women	and Infants Hospital
Labor F NLRB of proceed the und Region	Relations Board to administrative charge in the above matter. Further to arbitration before you in order to arbitration before you in order to the Regional Director of the company of the property of the company of th	by the Regional Director of Region 01 of the National rely defer to arbitration the further processing of the other, both parties to the NLRB case have agreed to her to resolve the dispute underlying the NLRB charge.  In the promptly informed of the status of the arbitration, copy of the arbitration award be sent to Regional Director and Building, 10 Causeway Street, Suite 1002, Boston, Markent to the parties in the arbitration.
		(Name)
		(Title)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

#### APPEAL FORM

Date:

To: General Counsel

Attn: Office of Appeals National Labor Relations Board
1015 Half Street SE
Washington, DC 20570-0001
I am appealing the action of the Regional Director in deferring the charge in
Women and Infants Hospital
Case Name(s).
01-CA-299131
Case No(s). (If more than one case number, include all case numbers in which appeal is taken.)
(Signature)

#### **E-FILING TO APPEALS**

- 1. **Extension of Time**: This document is used when the Charging Party is asking for more time to efile an Appeal.
  - If an Extension of Time is e-filed, and there are additional documents to be e-filed simultaneously with it, please e-file those documents under the selection **Correspondence**.
  - After an Extension of Time has already been e-filed, any **additional** materials to add to the Extension of Time should be e-filed under **Correspondence**.
- 2. **File an Appeal**: If the Charging Party does not agree with the Region's decision on the case, an Appeal can be e-filed.
  - Only one (1) Appeal can be e-filed to each determination in the Region's decision letter that is received.
  - After an Appeal has been e-filed, any additional materials to add to the Appeal should be e-filed under Correspondence.
- 3. **Notice of Appearance**: Either party can e-file a Notice of Appearance if there is a new counsel representing one side or a different counsel.
  - This document is only e-filed with the Office of Appeals after a decision has been made by the Region.
  - This document can be e-filed **before** an Appeal is e-filed.
- 4. **Correspondence**: Parties will **select** Correspondence when adding documents or supplementing the Appeal or Extension of Time.
  - Correspondence is used to e-file documents after an Extension of Time, Appeal or Notice of Appearance has been e-filed.
- 5. **Position Statement**: The Charging Party or Charged Party may e-file a Position Statement.
  - The Charging Party will e-file this document as a supplement of the Appeal.
  - The Charged Party will specifically file one to support the Region's decision.
  - This document should be e-filed **after** an **Extension of Time, Appeal** or **Notice of Appearance** has been e-filed.
- 6. **Withdrawal Request**: If the Charging Party decides to no longer pursue their appeal, he/she can e-file a Withdrawal Request to the Office of Appeals.
  - This document should be e-Filed **after** an **Extension of Time, Appeal** or **Notice of Appearance** has been e-filed.



- 7. The selections of **Evidence** or **Other** should no longer be used.
- 8. If you need to contact the Office of Appeals, please call (202)273-3760.

Thomas P. O'Neill Jr. Federal Building 10 Causeway St, Room 1002 Boston, MA 02222-1001

Agency Website: www.nlrb.gov Telephone: (617)565-6700 Fax: (617)565-6725

Direct Dial: 202-273-3762

July 27, 2023

KEVIN CREANE, ESQUIRE LAW FIRM OF JOHN M. CREANE 92 CHERRY STREET MILFORD, CT 06460

Re: Women and Infants Hospital

Case 01-CA-299131

Dear Mr. Creane:

On September 8, 2022, the above matter was deferred to the parties' grievance/arbitration process.

Please complete the enclosed questionnaire or otherwise inform me in writing by August 10, 2023 of the status of the underlying grievance(s). If the matter is still pending, please state specifically where in the grievance/arbitration procedure it is pending. If the matter has been resolved, or if the Charging Party does not wish to proceed, please complete the enclosed Withdrawal Request and return it to this office along with a statement of the reasons for the withdrawal and the amount of any monetary settlement.

You are encouraged, but not required, to e-file your response or withdrawal request by going to the Agency's website at <a href="www.nlrb.gov">www.nlrb.gov</a>, clicking on **E-File Documents**, entering the NLRB Case Number, and following the detailed instructions.

If you have any questions concerning this matter, you can reach me at the number above or leave a message and I will contact you.

Very truly yours,

Vickie Marshall

Enclosures

Questionnaire Withdrawal Request

## QUESTIONNAIRE REGARDING DEFERRED CASE

Case Name: Women and Infa Case Number: 01-CA-299131	nts Hospitai	
Grievance underlying charge is: (mark the correct choice)	<ul><li>(1) No Longer Pending</li><li>(2) Still Pending</li></ul>	(Complete question 1) (Complete question 2)
mark the box that best describes the describes the describes of Grievance dropped by	disposition of the grievance us out no settlement ase provide a copy of the awa	
If this case was settled or resolved, de resolution (for example, the Employe charge) and/or provide a copy of any state the amount.	er agreed not to make the unil	ateral changes alleged in the
Note: If the grievance has be		
Request and return both this case hat (e.g. the date arbitration is scheduled)	s NOT been resolved, briefly	describe the status of the case
(Name and Title of Person completin	ng questionnaire)	(Date)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

### WITHDRAWAL REQUEST

In the matter of Women and Infants Hospital

National Labor Relations Board

Case **01-CA-299131** 

This is to request withdrawal of the cha	arge in the above case	e.
	SEIU District	
	(Name	of Party Filing Charge)
	Ву:	
		(Title)
	Date:	
Withdrawal request approved:		
(Date)		
Regional Director Region 01		

#### NATIONAL LABOR RELATIONS BOARD

#### NOTICE OF APPEARANCE

WOMEN AND INFANTS HOSPITAL	
and SEIU DISTRICT 1199 NE	CASE 01-CA-299131
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL  NATIONAL LABOR RELATIONS BOARD  Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATION OF THE WOMEN AND INFORMATION OF THE WOMEN AND THE WORLD FOR THE WOMEN AND THE WORLD FOR THE WORLD	IVE OF
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WE DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFORM	IATION)
Joseph D. Whelan	
Whelan Corrente & Flanders LLP, 100 West 02903	minster Street, Suite 710, Providence, RI
E-MAIL ADDRESS: jwhelan@whelancorrente.com	
OFFICE TELEPHONE NUMBER: 401-270-4500	
CELL PHONE NUMBER: 401-439-1891	<sub>FAX:</sub> 401-270-3760
SIGNATURE: OSAM DULLAM  (Please sign balink.) 7/15/22	

 $<sup>^{\</sup>rm l}$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

#### NATIONAL LABOR RELATIONS BOARD

#### NOTICE OF APPEARANCE

WOMEN AND INFANTS HOSPITAL	
and SEIU DISTRICT 1199 NE	CASE 01-CA-299131
REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL  NATIONAL LABOR RELATIONS BOARD  Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATIVE OF	
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY	
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.	
(REPRESENTATIVE INFORMATION)	
Matthew H. Parker	
Whelan Corrente & Flanders LLP, 100 Westminster Street, Suite 710, Providence, RI	
E-MAIL ADDRESS: mparker@whelancorrente.com	
OFFICE TELEPHONE NUMBER: 401-270-4500	
CELL PHONE NUMBER: 401-559-7268	_FAX:401-270-3760
SIGNATURE: Matthew H. Porker  (Please sign in ink.)  DATE: 115 22	

 $<sup>^{\</sup>rm I}$  if case is pending in Washington and Notice of appearance is sent to the general counsel or the executive secretary, a copy should be sent to the regional director of the region in which the case was filed so that those records will reflect the appearance.